

Sundaram Alternate Assets Limited

Criteria for evaluation of the Board

1. Composition of the Board and availability of multi-disciplinary skills

Whether the Board comprises of director with sufficient qualifications and experience in diverse fields to make Sundaram Alternate Assets a versatile institution.

2. Commitment to good Corporate Governance practices

- a) Whether the company practices high ethical and moral standards; and
- b) Whether the company is fair and transparent in all its dealing with the stakeholders.

3. Adherence to Regulatory compliance

Whether the company adheres to the various Government Regulations, Local, State and Central, in time.

4. Track record of Financial Performance

Whether the company will be consistently recording satisfactory and profitable financial performance year after year adding to shareholder value.

5. Grievance Redressal Mechanism

Whether a proper system is in place to attend the complaints / grievances from the investors, employees and others quickly and fairly.

6. Existence of Integrated Risk management System

Whether the company has an integrated risk management system to cover the business risks.

7. Use of Modern Technology

Whether the company has an integrated IT strategy and whether there is any system for periodical technology upgradation covering both hardware and software.

Criteria for evaluation of Chairman

1. Leadership qualities;
2. Standard of integrity;
3. Understanding of Macro economic Trends and Micro industry trends;
4. Public Relations;
5. Future Vision and Innovation

Criteria for evaluation of Audit Committee

1. Qualification & Experience of members
2. Depth of review of financial performance
3. Oversight of Audit & Inspection
4. Review of regulatory compliance
5. Fraud monitoring